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PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/802,518	
	Filing Date	March 9, 2001	
	First Named Inventor	Gary VAN NEST	
	Art Unit	1636	
	Examiner Name	D. Sullivan	
Total Number of Pages in This Submission	10 pages + 16 refs.	Attorney Docket Number	377882001100

ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form + duplicate copy for fee processing - 2 pages	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Form PTO/SB/08a/b + copy - 4 pages
<input checked="" type="checkbox"/> Information Disclosure Statement (Supplemental) - 3 pages	<input type="checkbox"/> CD, Number of CD(s) _____	Sixteen (16) references
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	Return Receipt Postcard
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

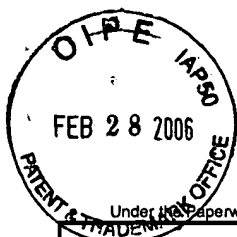
Firm Name	MORRISON & FOERSTER LLP (Customer No. 25226)		
Signature			
Printed name	Debra J. Glaister		
Date	February 28, 2006	Reg. No.	33,888

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Dated: February 28, 2006

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(Georgina Matos)



PTO/SB/17 (12-04v2)

Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL For FY 2005		Complete if Known			
		Application Number	09/802,518		
		Filing Date	March 9, 2001		
		First Named Inventor	Gary VAN NEST		
		Examiner Name	D. Sullivan		
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	Art Unit	1636			
TOTAL AMOUNT OF PAYMENT		(\$)	180.00	Attorney Docket No.	377882001100

METHOD OF PAYMENT (check all that apply)	
<input type="checkbox"/> Check	<input type="checkbox"/> Credit Card
<input type="checkbox"/> Money Order	<input type="checkbox"/> None
<input type="checkbox"/> Other (please identify): _____	
<input checked="" type="checkbox"/> Deposit Account	Deposit Account Number: 03-1952
Deposit Account Name: Morrison & Foerster LLP	
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)	
<input checked="" type="checkbox"/> Charge fee(s) indicated below	<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17	<input checked="" type="checkbox"/> Credit any overpayments

FEE CALCULATION							
1. BASIC FILING, SEARCH, AND EXAMINATION FEES							
Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	
2. EXCESS CLAIM FEES							
Fee Description						Small Entity Fee (\$)	Fee (\$)
Each claim over 20 (including Reissues)						50	25
Each independent claim over 3 (including Reissues)						200	100
Multiple dependent claims						360	180
Total Claims		Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims		
19	- 35 =	0	x 25 =	0.00	Fee (\$)	Fee Paid (\$)	
					360	0.00	
Indep. Claims		Extra Claims	Fee (\$)	Fee Paid (\$)			
3	- 4 =	0	x 100 =	0.00			
3. APPLICATION SIZE FEE							
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).							
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof		Fee (\$)	Fee Paid (\$)		
- 100 =	/50	(round up to a whole number) x		=			
4. OTHER FEE(S)							
Non-English Specification, \$130 fee (no small entity discount)						Fees Paid (\$)	
Other (e.g., late filing surcharge): 1806 Submission of an Information Disclosure Statement						180.00	

SUBMITTED BY			
Signature		Registration No. (Attorney/Agent)	33,888
Name (Print/Type)	Debra J. Glaister	Telephone	(650) 813-5725
		Date	February 28, 2006



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Dated: February 28, 2006

Signature:

Georgina Matos
(Georgina Matos)

Patent
Docket No. 377882001100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Gary VAN NEST

Serial No.: 09/802,518

Filing Date: March 9, 2001

For: METHODS OF AMELIORATING
SYMPTOMS OF HERPES INFECTION
USING IMMUNOMODULATORY
POLYNUCLEOTIDE SEQUENCES

Examiner: D. Sullivan

Group Art Unit: 1636

**SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. Copies of a foreign document and non-patent literature are submitted herewith. The Examiner is requested to make these documents of record.

This Supplemental Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.

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- ☐ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.
- ☒ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
- ☐ A fee is required. A check in the amount of __ is enclosed.
- ☒ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the amount of __ is enclosed.
- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

Applicant would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petition and/or other

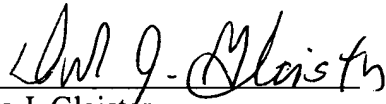
Application Serial No.09/802,518

Patent
Docket No. 377882001100

fees due in connection with the filing of this document to **Deposit Account No. 03-1952**
referencing 377882001100.

Dated: February 28, 2006

Respectfully submitted,

By 
Debra J. Glaister

Registration No.: 33,888
MORRISON & FOERSTER LLP
755 Page Mill Road
Palo Alto, California 94304-1018
(650) 813-5725



ALTERNATIVE TO PTO/SB/08a/b (07-05)

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	09/802,518
				Filing Date	March 9, 2001
				First Named Inventor	Gary VAN NEST
				Art Unit	1636
				Examiner Name	D. Sullivan
Sheet	1	of	2	Attorney Docket Number	377882001100

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	1.	US-2002/0028784-A1	03-07-2002	Nest	
	2.	US-2001/0046967-A1	11-29-2001	Van Nest	
	3.	US-2002/0098199-A1	07-25-2002	Van Nest et al.	
	4.	US-2002/0107212-A1	08-08-2002	Nest et al.	
	5.	US-2003/0050263-A1	03-13-2003	Krieg et al.	
	6.	US-2003/0216340-A1	11-20-2003	Van Nest et al.	
	7.	US-2004/0009942-A1	01-15-2004	Van Nest	
	8.	US-2005/0059626-A1	03-17-2005	Van Nest et al.	
	9.	US-6,339,068-B1	01-15-2002	Krieg et al.	
	10.	US-6,406,705-B1	06-18-2002	Davis et al.	
	11.	US-6,514,948-B1	02-04-2003	Raz et al.	

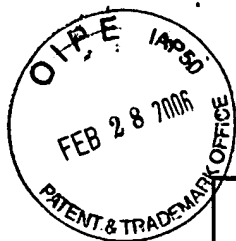
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	12.	WO-01/68103-A2,A3	09-20-2001	Dynavax Technologies Corporation		

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	13.	Current Drugs Ltd. (February 24, 2003). "Lilly and 3M Suspend Resiquimod Trails," located at < http://www.iddb.com/iddb3/iddb3_2/reports.print_display?i_query_id=0&template=Refe... >, last visited on October 13, 2005, 1 page.	
	14.	Current Drugs Ltd. (February 24, 2003). "Preliminary Data from Recently Completed Clinical Trials of Resiquimod Suggest Dosing Used in Studies will not Achieve Adequate Efficacy," located at < http://www.iddb.com/iddb3/iddb3_2/reports.print_display?i_query_id=0&template=Refe... >, last visited on October 13, 2005, 1 page.	
	15.	International Search Report mailed June 17, 2002 for PCT Application No. PCT/US01/07839 filed March 12, 2001, 4 pages.	
	16.	International Search Report mailed June 18, 2002 for PCT Application No. PCT/US01/07841 filed March 12, 2001, 6 pages.	
	17.	International Search Report mailed June 17, 2002 for PCT Application No. PCT/US01/07931 filed March 12, 2001, 7 pages.	
	18.	International Search Report mailed June 17, 2002 for PCT Application No. PCT/US01/07842 filed March 12, 2001, 6 pages.	
	19.	International Search Report mailed June 17, 2002 for PCT Application No. PCT/US01/07840 filed March 12, 2001, 6 pages.	

Examiner Signature	Date Considered
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ALTERNATIVE TO PTO/SB/08a/b (07-05)

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	09/802,518
				Filing Date	March 9, 2001
				First Named Inventor	Gary VAN NEST
				Art Unit	1636
				Examiner Name	D. Sullivan
Sheet	2	of	2	Attorney Docket Number	377882001100

20.	Krieg, A.M. (August 1996). "An Innate Immune Defense Mechanism Based on the Recognition of CpG Motifs in Microbial DNA," <i>J. Lab. Clin. Med.</i> 128(2):128-133.	
21.	Krieg, A.M. (2000). "The Role of CpG Motifs in Innate Immunity," <i>Current Opinion In Immunology</i> 12:35-43.	
22.	Kwant, A. et al. (2004). "Intravaginal Immunization with Viral Subunit Protein Plus CpG Oligonucleotides Induces Protective Immunity Against HSV-2," <i>Vaccine</i> 22:3098-3104.	
23.	Pisani, P. et al. (1993). "Estimates of the Worldwide Mortality from Eighteen Major Cancers in 1985. Implications for Prevention and Projections of Future Burden," <i>Intl. J. Cancer</i> 55:891-903.	
24.	Romano, G. et al. (2000). "Latest Developments in Gene Transfer Technology: Achievements, Perspectives, and Controversies over Therapeutic Applications," <i>Stem Cells</i> 18:19-39.	
25.	Silverman, E.S. et al. (2003). "Immunostimulatory DNA for Asthma: Better Than Eating Dirt," <i>Am. J. Respr. Cell Mol. Biol.</i> 28:645-647.	
26.	The University of Texas Medical Branch at Galveston. (Date Unknown). "New Drug Reduces Frequency of Genital Herpes Flare-ups," located at < http://www.utmb.edu/newsroom/01pr_archive/jul_2001/resiquimod.htm >, last visited on November 17, 2005, 2 pages.	
27.	Unknown. (Date Unknown). "Resiquimod (Topical), 3M," located at < http://www.iddb.com/iddb3/iddb3_2/reports.print_display?i_query_id=5874091&template... >, last visited on October 7, 2005, 1 page.	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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